

# Deductive systems with unified multiple-conclusion rules

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# Introduction

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- (a) We prove statements asserting or rejecting a given proposition;
- (b) We use the multiple-conclusion rules which premises and conclusions are finite sets of statements.

## Introduction

It is due Łukasiewicz that rejection was explicit including to logic. In the introduction to his paper<sup>1</sup>, he wrote:

*"The concepts of "truth", "falsehood", and "assertion" I owe to Frege. In adding "rejection" to "assertion" I have followed Brentano."*

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According to Brentano and in contrast to Frege, assertion (or acceptance) and rejection (or refutation, or denial) should have the same status. Let us note that assertion of a negation is much stronger than the rejection. For instance, in the Classical Logic we reject formula  $p$  (in symbols  $\neg p$ ), but the assertion  $\vdash \neg p$  does not hold.

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modus tollens:  $\vdash (A \rightarrow B), \neg B / \neg A$  (MT)

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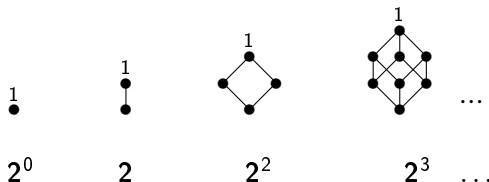
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Carnap's motivation to introducing refutations and multiple-conclusion rules was requirement of categoricity: if we want to syntactically characterize two-valued classical semantics, this syntactical system should be valid only (up to matrix isomorphisms) on the two-element Boolean matrix. But any axiom and the rule which is valid in  $(\mathbf{2}, \{1\})$ , is valid in all matrices  $(\mathbf{2}^n, \{1\})$ ,  $n \geq 0$  as well.



## Introduction

Carnap's solution is to use refutations and multiple-conclusion (multiple-alternative) rules – the ordered pairs  $\Gamma/\Delta$  of finite sets of formulas.

In semantics, a rule  $\Gamma/\Delta$  is valid in matrix  $(\mathbf{A}, D)$  if for any valuation  $\nu$ ,

$$\nu(\Gamma) \subseteq D \text{ entails } \nu(\Delta) \cap D \neq \emptyset.$$

A rejected (refuted) proposition  $\neg A$  is valid in a given matrix, if for some valuation, the value of  $A$  is not designated. For instance,  $\neg p$ , where  $p$  is a variable, is valid in any matrix having at least one non-designated element, and  $\neg p$  is invalid in all matrices in which every element is designated.

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Before we proceed, the warning:

We do not consider multiple-conclusion logics in the sense of Shoesmith and Smiley or Carnap's junctives.

We use multiple-conclusion rules merely as means of derivation of a statement from a set of statements.

# Outline

Introduction

Unified Logic

Multiple-Conclusion Rules

## Unified Logic

We assume that  $\text{Frm}$  is a set of propositional formulas built in a regular way from a countable set  $\text{Var}$  of propositional variables and a finite set of connectives  $\Omega$ .

### Definition

A *unified logic* is an ordered pair  $(L^+, L^-)$ , where  $L^+$  is a set of formulas closed under the rule of substitution:  $\text{Sb} := A/\sigma(A)$ , where  $A \in \text{Frm}$  and  $\sigma$  is a substitution, while  $L^-$  is a set of formulas closed under the rule of reverse substitution:  $\text{Rs} := \sigma(A)/A$ .

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For example, let  $\text{Cl}^+$  be a set of all classical tautologies and  $\text{Cl}^- := \text{Frm} \setminus \text{Cl}^+$ . Then the pair  $\text{UCL} := (\text{Cl}^+, \text{Cl}^-)$  is a unified classical logic.

## Unified Logic

$L^+$  is a set of *asserted* (accepted) propositions – *theorems* ;  $L^-$  is a set of *rejected* (refuted, denied) propositions – *anti-theorems* ;

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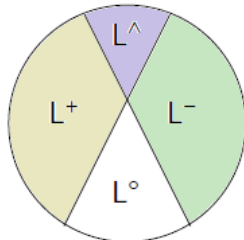
We make no assumptions regarding relations between  $L^+$  and  $L^-$ .  
All possibilities are admissible:

$L^+$  - asserted propositions

$L^-$  - rejected propositions

$L^\wedge$  - asserted and rejected propositions

$L^\circ$  - neither asserted nor rejected propositions



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A full and coherent logic is called *standard*.

**Example.** Let us take the three-element Heyting algebra  $\mathbf{A} := (\{\mathbf{0}, a, \mathbf{1}\}; \rightarrow, \wedge, \vee, \neg)$ , and consider a (logical) matrix  $\mathcal{M} := (\mathbf{A}; D^+ = \{\mathbf{1}\}, D^- = \{\mathbf{0}\})$ . For any proposition  $A$ , we let

$A \in L^+ \iff$  for each valuation  $\nu, \nu(A) \in D^+$ ;

$A \in L^- \iff$  there is a valuation  $\nu$ , such that  $\nu(A) \in D^-$ .

Then,  $A \in L^+$  if and only if  $A$  is valid in the Smetanich logic.  $A \in L^-$  if and only if  $A$  is invalid in the Classical logic. Propositions  $p \vee \neg p$  and  $\neg\neg p \rightarrow p$  are neither asserted, nor rejected.

## Unified Logic

It is custom to define logic by a consequence relation. If assertions and rejections have the same status, we need to consider the consequence relations on sets of meta-statements of the type "A is asserted" ( $A \in L^+$ ) and "A is rejected" ( $A \in L^-$ ).

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It is inconvenient for our purposes to use  $\vdash$  and  $\dashv$  for "is asserted" and "is rejected", because the notation like

$$\vdash A_1, \dots, \vdash A_n \vdash \vdash B$$

looks confusing. Instead, we use  $\oplus A$  for "A is asserted", and  $\ominus A$  for "A is rejected". The notation<sup>3</sup>

$$\oplus A_1, \dots, \oplus A_n \vdash \oplus B$$

is less confusing.

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# Statements

*Meta-statements* (or statements, for short) are expressions of form  $\oplus A$  – *positive* or assertions, and  $\ominus A$  – *negative* or rejections, where  $A \in \text{Frm}$ .

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*Unified consequence relation* is a binary relation  $\vdash$  defined on sets of statements and statements and satisfying the regular properties of consequence relation: for any sets  $\Gamma, \Delta \subseteq \mathcal{S}$  and any  $\alpha, \beta \in \mathcal{S}$

$$\alpha \vdash \alpha \quad (R)$$

$$\text{if } \Gamma \vdash \alpha \text{ and } \Gamma \subseteq \Delta, \text{ then } \Delta \vdash \alpha \quad (M)$$

$$\text{if } \Gamma \vdash \alpha \text{ and } \alpha, \Delta \vdash \beta, \text{ then, } \Gamma, \Delta \vdash \beta. \quad (T)$$

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Relation  $\vdash$  is *finitary* if  $\Gamma \vdash \alpha$  entails  $\Gamma' \vdash \alpha$  for some finite  $\Gamma' \subseteq \Gamma$ .



## Logic: theorems

Each unified consequence relation  $\vdash$  defines a set of *asserting theorems* :

$$\text{Th}^+(\vdash) := \{\alpha \in \mathcal{S}^+ \mid \vdash \alpha\}$$

and a set of *refuting theorems* :

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But if we consider the projections onto the set of propositions:

$$\text{L}^+ := \{A \in \text{Frm} \mid \oplus A \in \text{Th}^+(\vdash)\},$$

$$\text{L}^- := \{A \in \text{Frm} \mid \ominus A \in \text{Th}^-(\vdash)\}$$

the situation is different.

## Introduction: types of refutation

In general, there are two ways of how to handle refutation syntactically: direct and indirect. To determine whether formula  $A$  is refutable one can do one of two things:

- (a) to derive in a meta-logic a statement about refutability of  $A$  (Ł-proof - Łukasiewicz-style proof)

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An existence of an Ł-proof entails the existence of i-proof. The converse is true under some assumptions (some weak form of the deduction theorem<sup>4</sup>) and we will revisit this issue later.

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## Introduction: Direct refutation, an example

As an example, let us consider a Classical Propositional Calculus (CPC) with regular set of axioms and rules

$$\oplus A, \vdash \oplus (A \rightarrow B) / \oplus B \quad (\text{MP})$$

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And let us extend this calculus to calculus  $\text{CPC}^\circ$  by adding an anti-axiom

$$\vdash \ominus p,$$

where  $p$  is a propositional variable, and two rules

$$\oplus (A \rightarrow B), \ominus B / \ominus A \quad (\text{MT})$$

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Soundness easily follows from the observation that all axioms, the anti-axiom and the rules are valid in the 2-element Boolean algebra.

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Let us take any intermediate logic  $\mathfrak{J}$  – a logic extending IPC and contained in CPC, and add the anti-axiom  $\vdash \Theta p$  and the rules MT and Rs. In such a way we obtain a unified logic  $I^\circ$ .

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We can use the semantic means and conclude that

$$L^+(I^\circ) = \{A \in \text{Frm} \mid I \vdash A\},$$

$$L^-(I^\circ) = \{A \in \text{Frm} \mid I \not\vdash \neg\neg A\} = \{A \in \text{Frm} \mid \text{CPC} \not\vdash A\}.$$



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$$L^+(I^\circ) = \{A \in \text{Frm} \mid I \vdash A\},$$

$$L^-(I^\circ) = \{A \in \text{Frm} \mid I \not\vdash \neg\neg A\} = \{A \in \text{Frm} \mid \text{CPC} \not\vdash A\}.$$

If  $I \not\vdash A$  and  $I \not\vdash \neg\neg A$ , then  $I^\circ \not\vdash \oplus A$  and  $I^\circ \not\vdash \ominus A$ . Thus,

$$L^+(I^\circ) \cup L^-(I^\circ) \neq \text{Frm},$$

that is,  $\mathfrak{J}$  is not full.

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## Multiple-Alternative Rules

If  $\Gamma, \Delta$  are finite sets of meta-statements, an ordered pair  $\Gamma/\Delta$  is called a *structural multiple-conclusion* or *multiple-alternative* rule (m-rule for short). The premises  $\Gamma$  are viewed conjunctively, while the conclusions  $\Delta$  are viewed disjunctively.

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In general, we divide rules into three categories: if  $r := \Gamma/\Delta$  is a rule, then

$r$  is *conclusive* if  $\Delta$  consists of a single formula

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For instance,  $\oplus p, \oplus(p \rightarrow q)/\oplus q$  is a conclusive rule;

$\oplus(p \vee q)/\oplus p, \oplus q$  is an inconclusive rule;  $\oplus p, \oplus p/\emptyset$  is a terminating rule.

In addition to m-rules, we consider two rules: the rule of substitution  $S_b$ , and the rule of reverse substitution  $R_s$ .

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By applying rule  $\Gamma/\Delta$  we get the alternatives  $\Delta$  to be considered separately.

## Multiple-Alternative Inference

We use  $\blacktriangledown$  to denote an empty set of premises, and  $\blacktriangle$  to denote an empty set of alternatives.  $\blacktriangledown$  and  $\blacktriangle$  are merely notations and they are not the symbols of the language or meta-language.

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Inferences are finite trees the nodes of which are labeled by statements,  $\blacktriangledown$  or  $\blacktriangle$ . A leaf labeled by  $\blacktriangle$  is *terminating* (we have reduced a case to contradiction), otherwise, it is *extendable* .

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Let  $R$  be a set of rules (that may include  $S_b$  and/or  $R_s$ ) and  $\Gamma$  be a set of statements (which may be empty). An *inference from  $\Gamma$  by  $R$*  (or  $(\Gamma, R)$ -*inference* for short) is a finite tree nodes of which are labeled by statements, and it is defined by induction<sup>5</sup>:

---

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## Multiple-Alternative Inference

Like in a Hilbert-style inference, we use the assumptions and apply the inference rules.

A tree consisting of a single node (a root) labeled by  $\blacktriangledown$  is a  $(\Gamma, R)$ -inference (*it is needed for a sake of convenience*).

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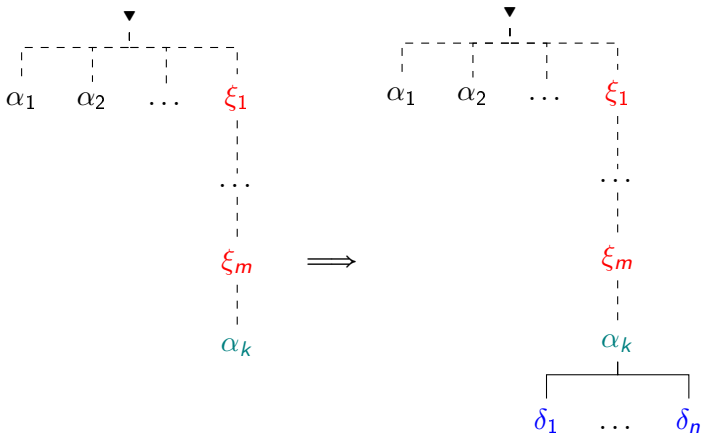
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*Applying the rules*: if  $\mathfrak{J}$  is a  $(\Gamma, R)$ -inference, then any non-terminal leaf  $\lambda$  can be extended by adjoining the leaves labeled by  $\blacktriangle$ , or by statements from a finite set  $\Delta$ , provided there is an instance  $\Xi/\blacktriangle$  or  $\Xi/\Delta$  of a rule from  $R$ , and all statements from  $\Xi$  are between  $\lambda$  and the root. The tree obtained in such a way is a  $(\Gamma, R)$ -inference.

## Multiple-Alternative Inference

Suppose that  $\frac{\xi_1, \dots, \xi_m}{\delta_1, \dots, \delta_n}$  is an instance of a rule from R.





## Multiple-Alternative Inference

Let  $\Gamma, \Delta$  be sets of statements,  $\alpha$  be a statement and  $R$  be a set of rules.

### Definition

$\alpha$  is *derivable from*  $\Delta$  *by*  $(\Gamma, R)$ , if there is a  $(\Delta \cup \Gamma, R)$ -inference each leaf of which is labeled by  $\alpha$  or by  $\blacktriangle$ .

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### Proposition

Any pair consisting of a set of statements  $\Gamma$  and a set of rules  $R$ , defines a consequence relation:

$$\Delta \vdash \alpha \Leftrightarrow \alpha \text{ is derivable from } \Delta \text{ by } (\Gamma, R).$$

## Multiple-Alternative vs. Classical Inference: an Example

Сова приложила ухо к груди Буратино.

- Пациент скорее мертв, чем жив, - прошептала она.

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$$\vdash_{\mathcal{D}} \alpha \iff \begin{cases} \alpha = \oplus A \text{ and } A \in L^+ \\ \alpha = \ominus A \text{ and } A \in L^- \end{cases}$$

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If  $\mathcal{D}$  contains only positive rules, it is *C-complete* for  $L$ , if

$$\vdash_{\mathcal{D}} \alpha \iff \begin{cases} \alpha = \oplus A \text{ and } A \in L^+ \\ \alpha = \ominus A \text{ and } \oplus A \vdash_{\mathcal{D}} \ominus B, \text{ where } \ominus B \text{ is an anti-axiom.} \end{cases}$$

## Admissible multiple-alternative rules

An m-rule  $\Gamma/\Delta$  is *admissible* for a given unified logic L, if for each substitution that makes valid all statements from  $\Gamma$ , at least one statement from  $\Delta$  is valid.  $\blacktriangledown$  is considered being always valid, and  $\blacktriangle$  is considered being always invalid.

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### Proposition

*In any intermediate logic, for any formula  $A$ ,*

*rule  $\oplus A/\blacktriangle$  is admissible if and only if rule  $\nabla/\oplus \neg A$  is admissible.*

The proof of  $\Leftarrow$  is trivial, while  $\Rightarrow$  follows immediately from the Glivenko Theorem.



## Admissible multiple-alternative rules

In terms of admissible rules, we have the following:

(coherency) a logic is coherent if and only if the rule

$$Co := \frac{\oplus p, \ominus p}{\blacktriangle} \text{ is admissible;}$$

(fullness) a logic is full if and only if the rule

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For convenience, we use the notation:

$$\bar{\alpha} = \begin{cases} \ominus A, & \text{when } \alpha = \oplus A \\ \oplus A, & \text{when } \alpha = \ominus A. \end{cases}$$

## Admissible multiple-alternative rules

Let  $L$  be a standard logic. Then, the following holds: for any finite sets  $\Gamma, \Delta$  and any statement  $\alpha$ ,

if the rule  $\frac{\alpha, \Gamma}{\Delta}$  is admissible, then the rule  $\frac{\Gamma}{\bar{\alpha}, \Delta}$  is admissible;

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In other words, one can move a statement from premises to alternatives, or vice-versa, with changing the "sign" of the statement. For logics without rejection the above makes no sense.

## Admissible multiple-alternative rules

Let  $L$  be a standard logic signature of which contains  $\rightarrow$ . If Modus Ponens is admissible for  $L$ , then, all the following eight variations of Modus Ponens are admissible:

$$\frac{\nabla}{\ominus p, \ominus(p \rightarrow q), \oplus q}; \quad \frac{\oplus p}{\ominus(p \rightarrow q), \oplus q}; \quad \frac{\oplus(p \rightarrow q)}{\ominus p, \oplus q}; \quad \frac{\ominus q}{\ominus p, \ominus(p \rightarrow q)};$$

$$\frac{\oplus p, \oplus(p \rightarrow q)}{\oplus q}; \quad \frac{\oplus p, \ominus q}{\ominus(p \rightarrow q)}; \quad \frac{\oplus(p \rightarrow q), \ominus q}{\ominus p}; \quad \frac{\oplus p, \oplus(p \rightarrow q), \ominus q}{\blacktriangle}.$$

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By the same argument, for the rule of substitution we have two variations that are either simultaneously admissible, or simultaneously not admissible:

$$\frac{\oplus A}{\oplus \sigma(A)}; \quad \frac{\ominus \sigma(A)}{\ominus A}$$



## Derivations of rules

Let  $R$  be a set of rules and  $r := \Gamma/\Delta$  be a rule. We say that  $r$  *is derivable* from  $R$  (in symbols  $R \vdash r$ ), if there is a  $(\Gamma, R)$ -inference all leaves of which do not contain statements not from  $\Delta$ .

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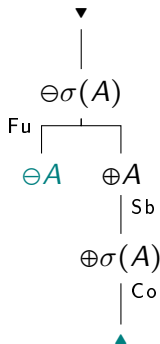
The rules  $\text{Co}$  and  $\text{Fu}$  allows to derive the different variations of the given rules from each other. Let

$$\mathcal{S} := \{\text{Co}, \text{Fu}\}.$$



## Reduction of Rs to Sb

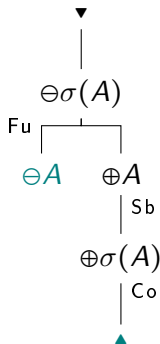
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Thus, in each deductive system that has postulated rules Co, Fu and Sb, the rule Rs can be eliminated.

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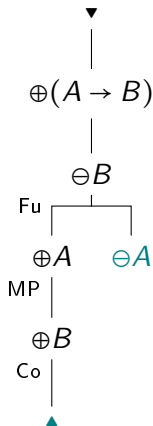
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# ⊥-complete systems

*Proposition.*  $MP \vdash_S MT$ .





## ⊥-complete systems

### Theorem

*Let  $\mathcal{D}$  be a deductive system containing only positive rules and the rule of substitution. Then, if  $\mathcal{D}$  is C-complete for a unified logic  $L$ , the system  $\mathcal{D}'$  obtained from  $\mathcal{D}$  by postulating Co and Fu, is  $\perp$ -complete.*

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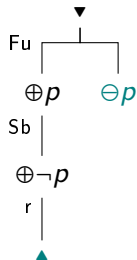
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### Example

One can take any calculus that defines the classical logic and contains the rule of substitution, and convert it to a C-complete deductive system by adding anti-axiom  $\ominus p$ . If we add to this deductive system Co and Fu, we obtain an  $\perp$ -complete system.

## ⊥-complete systems

Moreover, if we take any calculus with the rule of substitution defining the classical logic, we can convert it into an  $\perp$ -complete deductive system by adding the rules  $Co$ ,  $Fu$  and  $r := \oplus p, \oplus \neg p / \blacktriangle$ . The needed anti-axiom  $\ominus p$  is derivable:



# Deductive systems

## Theorem

For any finite sets of statements  $\Gamma, \Delta$  and any statement  $\alpha$ ,

$$\frac{\Gamma, \alpha}{\Delta} \vdash_S \frac{\Gamma}{\Delta, \bar{\alpha}}$$

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### Corollary

Let  $(\Gamma, R \cup S)$  be a deductive system defining a unified logic  $L$ .  
Then there is a system of positive rules  $R^+$ , such that  $(\Gamma, R^+ \cup S)$   
defines  $L$ .

## ⊥-complete system for the Classical Logic

### Theorem

The deductive system consisting of the below rules<sup>a</sup> is ⊥-complete for the classical logic Cl.

(i) $E_i = \frac{\oplus p, \oplus(p \rightarrow q)}{\oplus q}$	$l_{i1} = \frac{\oplus q}{\oplus(p \rightarrow q)}$	$l_{i2} = \frac{\oplus(p \rightarrow (q \rightarrow r))}{\ominus(p \rightarrow q), \oplus(p \rightarrow r)}$
(c) $E_{cl} = \frac{\oplus p \wedge \oplus q}{\oplus p}$	$E_{cr} = \frac{\oplus p \wedge \oplus q}{\oplus q}$	$l_c = \frac{\oplus p, \oplus q}{\oplus(p \wedge q)}$
(d) $E_{dl} = \frac{\ominus(p \vee q)}{\ominus p}$	$E_{dr} = \frac{\ominus(p \vee q)}{\ominus q}$	$l_d = \frac{\oplus(p \rightarrow r), \oplus(q \rightarrow r)}{\oplus((p \vee q) \rightarrow r)}$
(n) $E_n = \frac{\oplus p, \oplus \neg p}{\blacktriangle}$	$l_n = \frac{\blacktriangledown}{\oplus p, \oplus \neg p}$	
(r) $C_o = \frac{\oplus p, \ominus p}{\blacktriangle}$	$F_u = \frac{\blacktriangledown}{\oplus p, \ominus p}$	$S_b = \frac{\oplus A}{\oplus \sigma(A)}$

<sup>a</sup>The positive m-rules that define the positive part of Cl are similar to m-rules from Shoesmith and Smiley, Multiple-conclusion logic, 2008.

## Final remarks

The rule  $\nabla / \oplus p, \ominus p$  (and not the  $\nabla / \oplus p, \oplus \neg p$ , or  $\nabla / \oplus (p \vee \neg p)$ ) expresses the Law of Excluded Middle. The Law of Excluded Middle is not about disjunction and negation: you may have it for the systems without disjunction and negation. The Law of Excluded Middle means that

One always can assert or reject any given proposition.

## Final remarks

The rule  $\nabla/\oplus p, \ominus p$  (and not the  $\nabla/\oplus p, \oplus \neg p$ , or  $\nabla/\oplus (p \vee \neg p)$ ) expresses the Law of Excluded Middle. The Law of Excluded Middle is not about disjunction and negation: you may have it for the systems without disjunction and negation. The Law of Excluded Middle means that

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Accordingly, the rule  $\oplus p, \ominus p/\blacktriangle$  expresses the Law of Non-Contradiction, which is not about conjunction and negation; it means that

One cannot assert and reject the same proposition at the same time.



# Thanks

Thank you for your patience and attention.

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